

# Workshop overview

- Purpose of regulating public trees
- Requirements for TSPs
- · Permits and forms
- · Working as a subcontractor
- · Powerlines and other hazards
- Questions

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## SDOT Maintenance Operations Division

Our mission is to provide integrated maintenance, emergency response, and stewardship of the engineered and natural systems within the Right of Way to promote a safe, vibrant and interconnected city.

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# Why does SDOT regulate trees? The City of Seattle's <u>Urban Forest Stewardship Plan</u> ision statement: Seattle's urban forest is a thriving and sustainable mix of tree and understory species and ages that creates a contiguous and healthy ecosystem that is valued and cared for by the City and all of its residents as an essential environmental, economic, and shared community asset that reinforces Seattle's identity and legacy as a forested, livable city.

Download the City of Seattle Urban Forest Stewardship Plan at <a href="http://www.seattle.gov/trees/management.htm">http://www.seattle.gov/trees/management.htm</a>

# Why does SDOT regulate trees?



Seattle departments that regulate and/or manage trees:

- Department of Transportation
- Parks and Recreation
- Office of Sustainability and Environment
- Seattle City Light
- Seattle Public Utilities
- Seattle Center
- Department of Construction and Inspections
- Finance and Administrative Services

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Seattle Municipal Code 15.43 is the law that supports Seattle residents' interests in having a vibrant urban forest, a functional transit system, and robust business opportunities for tree care professionals. SDOT supports this code by regulating street trees in the right of way.

Although SDOT regulates trees in the right of way, it is not necessarily responsible for the care of these trees. Many trees in the right of way are owned by private parties. These private parties are responsible for the care of these trees, but these trees must be managed in accordance with Seattle Municipal Code 15.43 and SDOT regulations.

Seattle Municipal Code 15.43 available online at www.municode.com/library/wa/seattle/codes/municipal\_code



Seattle Municipal Code 15.43 is turned into policies and managed by SDOT. The Street Tree Manual has these policies and resources for complying with them. Resources include reminders about permit requirements, a copy of the ISA Risk Assessment form, etc.

The Street Tree Manual is available online at <a href="http://www.seattle.gov/transportation/forestry.htm">www.seattle.gov/transportation/forestry.htm</a>



No one but a registered Tree Service Provider or an adjacent property owner is allowed to perform street tree maintenance in Seattle.



Even registered Tree Service Providers must conduct tree maintenance up to the standards that preserve Seattle's urban forest. These include ANSI A300, policies in the Street Tree Manual, and the Street Tree Ordinance. Work in the right of way must be conducted safely as per the Seattle Traffic Control Manual for In-Street Work. These requirements are specific to City authority. They do not include other requirements such as those enforced by other agencies like Labor and Industry.

- Street Tree Ordinance information is available at www.seattle.gov/transportation/treeordinance.htm
- Street Tree Manual is available at <u>www.seattle.gov/transportation/forestry.htm</u>
- ANSI A300 are available for purchase at <u>www.isa-arbor.com/store/category.aspx?cid=117</u>
- Traffic Control Manual for In-Street Work is available at <u>www.seattle.gov/transportation/trafficcontrolmanual.htm</u>



### Registration requirements for tree service providers

- Have a State of Washington General Contractor's License
- Have a City of Seattle Business License
- Provide proof of commercial general liability (CGL) insurance that names the City of Seattle as an additional insured for primary limits of liability, with a minimum of \$1,000,000 in coverage (see Client Assistance Memo 2102)
- Identify at least one employee who is a credentialed ISA certified arborist or ISA Certified Tree Worker who is responsible for supervision of street tree pruning (this person can be a non-staff consultant)
- Affirm that the Tree Service Provider has read and understands the following documents:
  - Street Tree Ordinance (Ord. # 124166)
  - Street Tree Manual
  - ANSI A-300, Pruning Standards, and
  - City of Seattle Traffic Control Manual for In-Street Work

### For more information about registering as a Tree Service Provider:

- visit www.seattle.gov/transportation/treecompanypermits.htm
- reference page 24 of the Street Tree Manual, available at www.seattle.gov/transportation/forestry.htm
- call 206-684-TREE (8733)
- email Seattle.Trees@seattle.gov



Your Tree Service Provider registration, Annual Truck Permit, and Urban Forestry Permit satisfy your permit requirements for many street tree jobs. There are, however, special circumstances that necessitate additional documentation or permits. We'll review those situations later in this presentation. First, we'll look closely at what your Annual Truck Permit does and doesn't allow, and what it takes to submit an accurate Urban Forestry Permit.



Street Use Permit Services Counter hours and address are available online at <a href="https://www.seattle.gov/transportation/stuse">www.seattle.gov/transportation/stuse</a> permits.htm.



Annual Truck Permits are also known as Annual Vehicle Permits. Annual Truck Permits allow a certain level of work in public rights of way. These permits, when paired with an approved Urban Forestry Permit, grant Registered Tree Service Providers the ability to perform specified maintenance on street trees. The limits of Annual Truck Permits relate to the duration and location of the work being done. When proposed work exceeds these limits additional permits are required.

You can apply for an Annual Truck Permit at the Street Use Permit Services Counter located at: 700 5<sup>th</sup> Avenue, Seattle, WA Seattle Municipal Tower 23<sup>rd</sup> Floor

For more information about the Street Use Permit Services Counter including hours of operation please visit <a href="https://www.seattle.gov/transportation/stuse">www.seattle.gov/transportation/stuse</a> <a href="https://www.seattle.gov/transportation/stuse">permits.htm</a>



These are some of the annual truck permit restrictions that are most likely to relevant to street tree maintenance. Please see the complete and up to date list or restrictions on your Annual Truck Permit. The numbers and test are taken from the full list of restrictions that are a part of all Annual Truck Permits.

# **Annual Truck Permit - Restrictions**

Priority - Allow other contractors with existing approved permits priority.

HUB - If work will be in a Hub area, the contractor must coordinate with SDOT at least 3 weeks prior to beginning work. Contact SDOT at <u>SDOTPermits@seattle.gov</u> to schedule work in these areas.

Events - For events with 10,000 or more people: Permittee may not work in the vicinity during the event, two hours prior to the event, or two hours following it.

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The Construction Hub Coordination map is available at <a href="http://www.seattle.gov/transportation/hub.htm">www.seattle.gov/transportation/hub.htm</a>

# **Annual Truck Permit - Restrictions**

Major Streets - Annual Truck Permit activity is prohibited on major thoroughfares such as (e.g. 99, I-90, 520, Mercer, etc.) during weekend closures.

Street Car - Annual Truck Permit activity does not allow for street car interruptions. Single use Street Use permits must be obtained if work is to interrupt or impact street car mobility.

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All permit applications are reviewed by Street Use and Urban Forestry experts. Please expect the review period to be approximately 20 business days. Urban Forestry permits are reviewed by district, each of which has a dedicated city arborist reviewing all permit applications for that region.

You can submit Urban Forestry Permit applications in person at the Street Use Permit Services Counter or by email.

Street Use Permit Services Counter 700 5th Avenue Seattle Municipal Tower 23<sup>rd</sup> floor www.seattle.gov/transportation/stuse\_permits.htm

Email <u>SDOTPermits@seattle.gov</u>



A pruning plan is required with any pruning permit application. The plan must follow ANSI A300 standards and be written clearly such that any trained arborist could follow it.

Completing a pruning plan

- Reduces need for additional communication with SDOT by making your intentions clear
- Ensures the permit is written in such a way that it supports your desired outcomes
- Protects you from penalties related to misunderstandings of proposed maintenance



Lists of recommended and prohibited street tree species are available at <a href="http://www.seattle.gov/transportation/treeplanting.htm">www.seattle.gov/transportation/treeplanting.htm</a>

SDOT wants to promote a diversity of street trees. Please call 684-TREE or email Seattle.Trees@seattle.gov to request approval for species not on this list.

If you're working as a subcontractor as part of a larger project, consult the pre-approved plans to determine what species are approved for planting.

# **Urban Forestry permits**



Street trees must be protected and are required to be preserved.

They may only be removed in special circumstances.

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constraint of defaults of defaults and the trace serverse for	Removed trees generally must be replaced.

A street tree must be protected and preserved unless SDOT has issued a Street Use Permit authorizing the removal of the tree.

### To qualify for removal a tree must be either:

- Hazardous (as determined by ISA Basic Tree Risk Assessment Form Street Tree Manual, page 42) and unable to be made safe except through removal
- Posing a public safety risk, such as growing into an arterial intersection
- In such poor health or condition that removal is justified
- Unavoidably incompatible with construction plans such that no alternative to removing the tree exists contractors are advised to explore all alternatives to removal prior to submitting an Urban Forestry Permit application for tree removal

If removed, a tree must be replaced with a similar approved species, assuming the planting space is large enough to accommodate the replacement. This requirement for replacement may be waived in rare circumstances only.

**Exceptions may exist when working as a subcontractor** on a project with a pre-approved permit. In these cases, please review the prime contractor's Urban Forestry permit for details.

You can find additional tree removal details in the Street Tree Manual on page 21. www.seattle.gov/transportation/forestry.htm



You can post a Notice of Application as soon as you submit the removal permit application – you do not need to wait for permit approval to post the tree and begin the 14 day posting period. If you do not wish to place the Notice of Application yourself, SDOT Urban Forestry staff will do it for you at some time after receiving your removal permit application. Please note that trees cannot be removed without an approved Urban Forestry permit – the Notice of Application alone is not adequate to begin removal work.

### Notice of Application posting criteria:

- Notices must have black text on a yellow background as shown
- Notices must remain on the tree for 14 calendar days
- Tree Service Providers are advised to put the Notice in a plastic sleeve before posting to make the posting rain resistant – Tree Service Providers are responsible for ensuring Notices of Application they posted withstand the elements for the 14 day period
- Notices must be adhered using tape. Tree Service Providers are advised to wrap the tape around the circumference of the tree to improve its holding ability.
- Please email SDOT Urban Forestry at Seattle.Trees@seattle.gov once you have placed the Notice

### Notice of Application are available

- At the SDOT Street Use Permit Services Counter, 700 5<sup>th</sup> Avenue, Seattle Municipal Tower, 23<sup>rd</sup> Floor - <u>www.seattle.gov/transportation/stuse\_permits.htm</u>
- Online as a PDF –<u>www.seattle.gov/transportation/treeplantapp.htm</u>



When a street tree is in a condition that poses an imminent threat to the public place, and no other risk abatement options exist, the tree may be removed without prior City approval and without a 14-day posting period. In these rare circumstances, call the SDOT 24-hour phone line. When speaking with the operator, request to talk the on-call arborist about an emergency tree removal.

Discussing the proposed removal with the on-call arborist before removing the tree reduces your exposure to liability for removing a tree without an Urban Forestry Permit.



This is an example of one style of site plan templates. Other templates with varying street layouts are available. When choosing a site plan template, please use the one that most closely matches your proposed work site.

Site plan templates are available online <u>www.seattle.gov/transportation/stuse\_forms.htm</u>





This is a very helpful reference for identifying what permits are actions your work requires in addition to an Urban Forestry Permit. Download a copy at <a href="http://www.seattle.gov/transportation/treeplantapp.htm">www.seattle.gov/transportation/treeplantapp.htm</a>



### **Annual Truck Permit time limits:**

- 8 hours on a non-arterial street
- 2 hours on an arterial street

Note that these time limits are per day, not per session. Moving a truck down the block while continuing a single job does not reset the clock.

Construction Use Permits cost \$599 plus an additional fee based on the size of your work site. These fees represents your use of a public resource (the right of way) for operating your business. Citations can be issued to companies who exceed the time limits of their Annual Truck Permit without a Construction Use Permit. Citation fees are in addition to permit fees charged retroactively for work that should have been conducted under a Construction Use Permit.

Construction Use Permit applications are available at the Street Use Permit Services Counter on floor 23 of Seattle Municipal Toward and online at www.seattle.gov/transportation/stuse\_permits.htm



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PERMITTEE CHECKLIST PEDESTRIAN MOBILITY IN AND AROUND WORK ZONES	Pedestrian mobility must be preserved and protected with signs and flaggers.
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Pedestrian mobility must be protected and preserved at all times, even when working within the limits of your Annual Truck Permit.

The Pedestrian Mobility Checklist is an additional piece of documentation that is required only when your work exceeds the time limits of your Annual Truck Permit.

The Pedestrian Mobility Checklist is available at the Street Use Permit Services Counter on floor 23 of Seattle Municipal Toward and online at <a href="http://www.seattle.gov/transportation/stuse">www.seattle.gov/transportation/stuse</a> permits.htm



Traffic control, such as signs and cones, is always required whenever you are impacting vehicle or pedestrian traffic.

A Traffic Control Plan (TCP) is required as part of your Urban Forestry Permit application when you are working: in a Hub Area, in the High Impact Downtown Area, or on an arterial street for longer than 2 hours.



If you need to submit a Traffic Control Plan, you can either make it yourself or hire a company to make it for you.

To make a Traffic Control Plan yourself, you may want to start with the templates found in the SDOT Traffic Control Manual, available at

<u>www.seattle.gov/transportation/trafficcontrolmanual.htm</u>. These templates are called typical plans. You can edit a typical plan to fit the specifics of your work zone.



If you choose to make a Traffic Control Plan yourself, you may want to use a Typical Traffic Control Plan as a template. These typical plans are found in the SDOT Traffic Control Manual (<u>www.seattle.gov/transportation/trafficcontrolmanual.htm</u>). You can edit whatever typical plan most closely matches your work zone to fit the details of your job. You can make these edits on your computer using a graphics editor like Microsoft Paint or you can write them by hand on a printed copy.

For additional guidance on making a TCP using a typical plan, please see "MAKING A TRAFFIC CONTROL PLAN (TCP) FROM A TYPICAL PLAN" in your workshop packet or on the Tree Service Provider resources web page.

If you are unsure what road class definition (see Table XI-1) the street you are working on is in, you can copy and paste Table XI-1 onto your TCP instead of filling in the distance numbers. SDOT staff will help to determine appropriate distances and return them to you with your permit.



SDOT Urban Forestry permits for approved Tree Service Provider work on street trees for private development or public improvement projects are issued to the prime contractor or owner of the project.

If you are hired to conduct street tree maintenance as part of such a project, request a copy of the Urban Forestry permit from the project representative to:

- confirm the work approved for you to perform.
- coordinate your schedule with a traffic control permit for the project.
- coordinate your schedule with the Urban Forestry lead responsible to confirm and inspect your work on site.

If these details are unclear in the materials the prime contractor provides, the prime contractor should contact their SDOT Urban Forestry contact to clarify.



TCIA video on electrical hazards:

http://www.tcia.org/TCIA/News/Safety/New Video addresses Worker Safety and Electri cal Hazards.aspx



These are images of a boom truck that touched a powerline.


These are images of a boom truck that touched a powerline.



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## ANSI Z133.1 Part 4.2.2

 "An inspection shall be made by a qualified arborist to determine whether an electrical hazard exits before climbing, otherwise entering, or performing work in or on a tree."













